

TRANSMITTAL FORM FOR FILING PATENT APPLICATION

Attorney

Docket No.: COMET-003XX

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP
 Ten Post Office Square
 Boston, Massachusetts 02109
 Telephone: (617) 542-2290
 Telecopier: (617) 451-0313

Express Mail No: EL418427625US

jc862 U.S. PTO
 09/628880
 07/31/00

BOX PATENT APPLICATION
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Date: July 31, 2000

First Named Inventor or Application
 Identifier: James S. Rosen et al.

Sir:

Transmitted herewith under 37 CFR § 1.53 for filing is the patent application of:

Inventor: James S. Rosen, Christopher M. Putnam, Thomas A. Schmitter, Daniel Torop

Entitled: SYSTEM AND METHOD FOR ENABLING INFORMATION ASSOCIATIONS

This is a request for filing a ☐ **continuation** ☐ **divisional** ☐ **continuation**
in-part application under §1.53(b) of prior Application No. _____, filed
 _____ entitled:

Enclosed are:

☒ 50 pages of written description, claims and Abstract, inclusive☒ 7 sheets of ☒ informal ☐ formal drawings of Figs. 1-7 (one set)☒ Oath or Declaration☐ Newly executed (original or copy)☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional).

The entire disclosure of the prior application, from which a copy of the oath
 or declaration is supplied, is considered as being part of the disclosure of
 the accompanying application and is hereby incorporated by reference therein.

☒ (unsigned) To be filed later☐ Cover sheet and Assignment of the invention to:

☐ Certified copy of a _____ application (if foreign priority is
 claimed) with letter claiming priority under Rule 55.

☐ Information Disclosure Statement with ___ citations☐ Preliminary amendment is enclosed.☒ Return receipt postcard☐ Other:

Express Mail Number

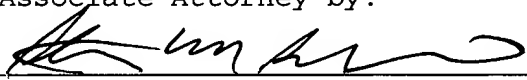
EL418427625US

jc820 U.S. PTO
 07/31/00

TRANSMITTAL FORM FOR FILING PATENT APPLICATION (CONTINUED)

Attorney
Docket No.: COMET-003XX

- [X] Verified statement of Small Entity status (§1.9 and §1.27)
- [] Verified statement of Small Entity was filed in prior application. Status still proper and desired
- [] Priority is claimed under 35 USC § 120 as indicated on the attached sheet 4.
- [] Priority is claimed under 35 USC §119(a)-(d) as indicated on the attached sheet 4.
- [X] Priority is claimed under 35 USC §119 (e) as indicated on the attached sheet 4.
- [X] David A. Dagg is hereby appointed Associate Attorney by:
Registration No.: 37,809


Attorney of Record Stanley M. Schurgin
Registration No.: 20,979

Power of Attorney in the originally-filed application has been granted to one or more of the registered attorneys listed below. The attorneys listed below not previously granted power in the originally-filed application, as well as _____, are hereby given associate power:

Registration No.:

| | |
|--|-------------------------------------|
| Stanley M. Schurgin, Reg. No. 20,979 | Eugene A. Feher, Reg. No. 33,171 |
| Charles L. Gagnebin III, Reg. No. 25,467 | Beverly E. Hjorth, Reg. No. 32,033 |
| Paul J. Hayes, Reg. No. 28,307 | Holliday C. Heine, Reg. No. 34,346 |
| Victor B. Lebovici, Reg. No. 30,864 | Gordon R. Moriarty, Reg. No. 38,973 |

Cancel in this application original claims _____ of the prior application before calculating the filing fee.

Add in this application claims _____ per amendment before calculating fee.

| CLAIMS FILED: | MINUS BASE: | EXTRA CLAIMS: | RATE: | BASIC FEE: |
|--|-------------|---------------|--------------|------------|
| | | | | \$690.00 |
| Independent | 5 - 3 | = 2 | x \$78.00 = | \$156.00 |
| Total | 27 - 20 | = 7 | x \$18.00 = | \$126.00 |
| [] Multiple Dependent Claims (1st presentation) | | | + \$260.00 = | |
| SUBTOTAL FILING FEE | | | | \$972.00 |
| Small Entity filing, divide by 2. (Note: verified statement must be attached per §1.9, §1.27, §1.28.) | | | | \$486.00 |
| TOTAL Filing Fee | | | | \$486.00 |

Attorney Docket No.: COMET-003XX

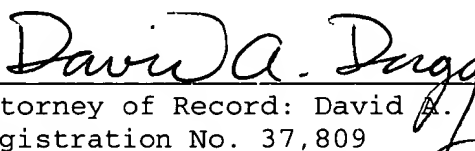
TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

- ☐ The filing fee has been calculated above; a check in the amount of _____ is enclosed.
- ☒ The filing fee will be submitted at a later date.
- ☒ In the event a Petition for Extension of Time under 37 CFR §1.17 is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.
- ☐ The Commissioner is hereby authorized to charge payment of any additional filing fees under 37 CFR §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

[X] Customer Number 207

Address all future communications to:

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP
Ten Post Office Square
Boston, Massachusetts 02109
Telephone: (617) 542-2290
Telecopier: (617) 451-0313



Attorney of Record: David A. Dagg
Registration No. 37,809

TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

☐ Priority is claimed under 35 USC § 120 of prior Application(s)
No. _____, filed _____, entitled:

☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (a)-(d) of the following application(s).

(Application Number) (Country) (Filing Date)

(Application Number) (Country) (Filing Date)

(Application Number) (Country) (Filing Date)

☐ The above-identified application(s) is/are assigned of record to:

☒ Priority is claimed under 35 USC § 119 (e) of the following provisional application(s).

60/146,469 July 30, 1999
(Application Number) (Filing Date)

(Application Number) (Filing Date)

(Application Number) (Filing Date)

☐ The above-identified provisional application(s) is/are assigned of record to:

☐ The claim of small entity status in the above-identified provisional application(s) is made in this application and a copy of the small entity form(s) from the provisional application(s) is/are enclosed.

SUBMIT IN TRIPLICATE

DAD/kdm
230587

WEINGARTEN, SCHURGIN,
GAGNEBIN & HAYES LLP
TEL (617) 542-2290
FAX (617) 451-0313